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PTO/SB/05 (2/98) (modified)

Approved for use through 9/30/2000, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

07/07/00  
JCE41 U.S. PTO

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket Number	4461
First Named Inventor	Pawan Goyal
Total Pages in this Submission	30
Express Mail Label No.	EL482716793US

07/07/00  
JCE41 U.S. PTO  
16119/60

## APPLICATION ELEMENTS

- ☒ Fee Transmittal Form (in duplicate)  
☒ Check Enclosed
- ☒ Specification  
(preferred arrangement set forth below)
  - ☒ Descriptive Title of the Invention
  - ☒ Cross Reference(s) to Related Case(s)
  - ☒ Statement Regarding Fed sponsored R & D
  - ☒ Background of the Invention
  - ☒ Brief Summary of the Invention
  - ☒ Brief Description of the Drawing(s)
  - ☒ Detailed Description
  - ☒ Claim or Claims
  - ☒ Abstract of the Disclosure
- ☒ Drawing(s) (when necessary per 35 USC 113)
- Oath or Declaration
  - ☒ New Declaration  
☒ Executed
  - ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Incorporation by Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment & Assignment Recordation Cover Sheet
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Information Disclosure Statement & PTO-1449  
☐ Copies of IDS Citation(s)
- ☐ Preliminary Amendment
- Small Entity Statement  
☒ New Statement enclosed  
☐ Statement filed in prior application. Status still proper and desired
- ☒ Return Postcard
- ☐
- ☐
- ☐
- ☐
- ☐

### ADDRESS TO:

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_  
 Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

### 18. CORRESPONDENCE ADDRESS

NAME	Albert C. Smith Fenwick & West LLP				
ADDRESS	Two Palo Alto Square				
CITY	Palo Alto	STATE	CA	ZIP CODE	94306
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Name (Print/Type)	Albert C. Smith			Registration No. (Attorney/Agent)	20,355
Signature	A. C. Smith			Date	7/7/2000

21816/04461/DOCS/1063878.1

0002/PTO(modified)  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

# FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 507.00)

### Complete if Known

Application Number	not yet known
Filing Date	July 7, 2000
First Named Inventor	Pawan Goyal
Group Art Unit	not yet known
Examiner Name	not yet known
Attorney Docket Number	4461

### METHOD OF PAYMENT

#### 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>1</sup>
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

#### 2. ☒ Payment Enclosed:

☒ Check ☐ Other

### FEE CALCULATION (fees effective 11/12/98)

#### 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$690	201/\$345	Utility Filing	345
106/\$310	206/\$155	Design Filing	
108/\$690	208/\$345	Reissue	
114/\$150	214/\$75	Provisional Filing	
SUBTOTAL (1)			(\$ 345)

#### 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$78	202/\$39	Independent claims in excess of 3
104/\$260	204/\$130	Multiple dependent claim
109/\$78	209/\$39	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

#### 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month <sup>1</sup>	
116/\$380	216/\$190	Extension for response within second month <sup>1</sup>	
117/\$870	217/\$435	Extension for response within third month <sup>1</sup>	
118/\$1,360	218/\$680	Extension for response within fourth month <sup>1</sup>	
128/\$1,850	228/\$925	Extension for response within fifth month <sup>1</sup>	
119/\$300	219/\$150	Notice of Appeal	
141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	
142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	
143/\$430	243/\$215	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
123/\$50	123/\$50	Petitions related to provisional applications	
126/\$240	126/\$240	Submission of Information Disclosure Statement	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	
146/\$690	246/\$345	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$690	249/\$345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$ 0)

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims		Highest No. Previously Paid For		Extra**				
TOTAL	25	minus*	20	=	5	x	9	=	45
INDEP	6	minus*	3	=	3	x	39	=	117
[ ] First presentation of multiple dependent claim									

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 162)

### SUBMITTED BY

Typed or Printed Name Albert C. Smith

Signature

*Albert C. Smith*

### Complete (if applicable)

Reg. Number 20,355

Date

7/7/2000